

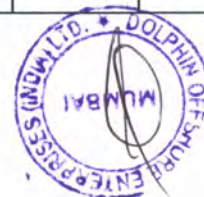
DOLPHIN OFFSHORE ENTERPRISES (INDIA) LTD.

SHAREHOLDING PATTERN AS ON 30.09.2013

(I) (a) Statement showing Shareholding Pattern

SCRIP CODE : 522261		Name of Scrip : Dolphin Offshore		Class of Security : Equity		Quarter Ended : 30.09.2013		
Category Code	<u>Partly paid up shares</u>			Number of partly paid up shares	As a % of total number of partly paid up shares	As a % of total number of shares of the Company		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
	<u>Outstanding Convertible Securities</u>			Number of outstanding Securities	As a % of total No. of outstanding Con. Securities	As a % of total No. of shares of the Company, assuming full conversion of the Securities		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
	<u>Warrants</u>			Number of warrants	As a % of total number of warrants	As a % of total number of shares of the Company assuming full conversion of warrants		
	Held by Promoter/Promoter group							
	Held by Public							
	Total			0	0.00	0.00		
Total paid up capital of the Company, assuming full conversion of warrants and convertible securities				16772518	100.00			
Category Code	Category of Shareholders	Number of Share-holders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A) Shareholding of Promoter and Promoter Group2								
(1) Indian								
(a)	Individuals/H.U.F	17	5869789	5869789	35.00	35.00	700000	11.93
(b)	Central/State Government(s)							
(c)	Bodies Corporate	1	2560662	2560662	15.27	15.27	2361116	92.21
(d)	Financial Institutions/Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	18	8430451	8430451	50.26	50.26	3061116	36.31
(2) Foreign								
(a)	Non Resident Individuals/ Foreign Nationals	2	765279	765279	4.56	4.56	0	0.00
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Qualified Foreign Investor							
(e)	Any Other (specify)							
	Sub_Total (A)(2)	2	765279	765279	4.56	4.56	0.00	0.00
	Total holding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	20	9195730	9195730	54.83	54.83	3061116	33.29

(contd2)



SCRIP CODE : 522261		Name of Scrip :		Class of Security : Equity		Quarter Ended : 30.09.2013		
Category Code	Category of Shareholders	Number of Shareholders	Total No. of shares	Number of shares held in demated form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1	(A+B+C)	Number of Shares	As a %
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(B) Public Shareholding								
(1) Institutions								
(a)	Mutual Fund/UTI							
(b)	Financial Institutions/Banks	0	0	0	0.00	0.00		
(c)	Central/State Government(s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies							
(f)	Foreign Institutional Investors	2	399329	399329	2.38	2.38		
(g)	Foreign Venture Cap. Inv							
(h)	Qualified Foreign Investor							
	Sub-Total (B)(1)	2	399329	399329	2.38	2.38	N . A.	N . A.
(2) Non Institutions								
(a)	Bodies Corporate	332	1638852	1638852	9.77	9.77		
(b) Individuals								
i) Holding nominal share capital upto Rs. 1 lakh								
		7452	1866342	1675703	11.13	11.13		
ii) Holding nominal share capital in excess of Rs. 1 lakh.								
		44	1862517	1673321	11.10	11.10		
(c) Any Other(specify)								
Overseas Corporate Bodies								
	Non Resident Individuals	177	155649	151416	0.93	0.93		
	Any Other - Foreign Bodies	3	1654099	1654099	9.86	9.86		
	Qualified Foreign Investor							
	Trust	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	8008	7177459	6793391	42.79	42.79	N . A.	N . A.
Total Public shareholding (B)=(B)(1)+(B)(2)		8010	7576788	7192720	45.17	45.17		
TOTAL (A)+(B)		8030	16772518	16388450	100	100	3061116	18.25
(C) Shares held by Custodians and against which Depository Receipts have been issued								
1 Promoter and Promoter Group								
2 Public								
GRAND TOTAL (A)+(B)+(C)		8030	16772518	16388450	100.00	100.00	3061116	18.25



DOLPHIN OFFSHORE ENTERPRISES (INDIA) LTD

(l) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

SCRIP CODE : 522261											Quarter ended 30.09.2013
Sr.No.	Name of the shareholder	Details of Shares held		Shares pledged or otherwise encumbered			Details of Warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	Shares as a percentage of total number of shares (i.e. Grand total of (A)+(B)+(C) indicated in statement at para (l)(a) above	Number of shares	As a percentage	As a % of grant total (A) + (B) + (C) of sub-clause (l)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
1	KIRPAL SINGH	921923	5.50	210000	22.78	1.25	0	0	0	0	5.50
2	MANJIT K SINGH	548857	3.27	0	0.00	0.00	0	0	0	0	3.27
3	NAVPREET SINGH*	992084	5.91	280000	28.22	1.67	0	0	0	0	5.91
4	SATPAL SINGH*	956840	5.70	210000	21.95	1.25	0	0	0	0	5.70
5	KAMAL MALHI	333172	1.99	0	0.00	0.00	0	0	0	0	1.99
6	RITU N SINGH	274400	1.64	0	0.00	0.00	0	0	0	0	1.64
7	PRABHA CHANDRAN*	509912	3.04	0	0.00	0.00	0	0	0	0	3.04
8	RISHMA SINGH	244608	1.46	0	0.00	0.00	0	0	0	0	1.46
9	AANCHAL MALHI	180256	1.07	0	0.00	0.00	0	0	0	0	1.07
10	SIMRIT MALHI	231256	1.38	0	0.00	0.00	0	0	0	0	1.38
11	TARUN SINGH	165760	0.99	0	0.00	0.00	0	0	0	0	0.99
12	AKHIL SINGH	165760	0.99	0	0.00	0.00	0	0	0	0	0.99
13	NITU SINGH	156800	0.93	0	0.00	0.00	0	0	0	0	0.93
14	ROHAN SINGH	188161	1.12	0	0.00	0.00	0	0	0	0	1.12
	TOTAL	5869789	35.00	700000	11.93	4.17	0	0	0	0	35.00
1	DOLPHIN OFFSHORE PROJECTS LTD	2560662	15.27	2361116	92.21	14.08	0	0	0	0	15.27
		2560662	15.27	2361116	92.21	14.08	0	0	0	0	15.27
1	SUNITA . SINGH MACLAREN*	765279	4.56	0	0.00	0.00	0	0	0	0	4.56
		765279	4.56	0	0	0	0	0	0	0	4.56
		9195730	54.83	3061116	33.29	18.25	0	0	0	0	54.83



DOLPHIN OFFSHORE ENTERPRISES (INDIA) LTD

(l) (c) Statement showing of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

SCRIP CODE : 522261				Quarter ended 30.09.2013				
Sr.No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e. Grand total of (A)+(B)+(C) indicated in statement at para (l)(a) above	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	CLEARWATER CAP. PARTNERS CYPRUS LTD*	1003786	5.98	0	0	0	0	5.98
2	CLEARWATER CAP. PARTNERS CLO I PTE LTD.	650313	3.88	0	0	0	0	3.88
3	MADHUKAR SHETH***	542864	3.24	0	0	0	0	3.24
4	ACIRA CONSULTANCY PRIVATE LTD*	360290	2.15	0	0	0	0	2.15
5	SUNIDHI CAPITAL PVT LTD	300000	1.79	0	0	0	0	1.79
6	PAT FINANCIAL CONSULTANTS PVT LTD	231127	1.38	0	0	0	0	1.38
TOTAL		3088380	18.41	0	0	0	0	18.41

(l) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company

SCRIP CODE : 522261				Quarter ended 30.09.2013				
Sr.No.	Name of the shareholder(s) and the persons Acting in Concert (PAC) with them	Number of shares held	Shares as a percentage of total number of shares (i.e. Grand total of (A)+(B)+(C) indicated in statement at para (l)(a) above	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	CLEARWATER CAP. PARTNERS CYPRUS LTD*	1003786	5.98	0	0	0	0	5.98
TOTAL		1003786	5.98	0	0	0	0	5.98



DOLPHIN OFFSHORE ENTERPRISES (INDIA) LTD

(I) (d) Statement showing details of locked-in shares

SCRIP CODE : 522261				Quarter ended : 30.09.2013
Sr. No.	Name of the Shareholder	Category of Shareholders Promoter/ Public	Number of locked-in shares	Locked in shares as a percentage of total number of shares (i.e. Grand Total of (A)+(B)+ (C) indicated in Statement at para (I)(a) above
	N.A.	N.A.	N.A.	N.A.



DOLPHIN OFFSHORE ENTERPRISES (INDIA) LTD.

(II) (a) Statement showing details of Depository Receipts (DRs)

SCRIP CODE : 522261		Quarter ended : 30.09.2013		
Sr.No.	Type of outstanding DR (ADRs, GDRs, SDRs. Etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
		N I L		

(II) (b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

SCRIP CODE : 522261		Quarter ended : 30.09.2013		
Sr.No.	Name of the DR holder.	Type of outstanding DR (ADRs, GDRs, SDRs. etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e.Grand Total of (A)+(B)+(C) indicated in Statement at para (I)(a) above
		N I L		



DOLPHIN OFFSHORE ENTERPRISES (INDIA) LTD.

III (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

30.09.2013

(Give description of voting rights for each class of security Class X, Class Y, Class Z)

Category code	Category of shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights (VI)	
		Class X (III)	Class Y (IV)	Class Z (V)		As a % of (A+B) (VII)	As a % of (A+B+C) (VIII)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group						
(1)	Indian						
(a)	Individuals/Hindu Undivided Family						
(b)	Central/State Government(s)						
(c)	Bodies Corporate						
(d)	Financial Institutions/Banks						
(e)	Any Other (specify)						
	Sub-Total (A) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
(2)	Foreign						
(a)	Individuals (Non Resident/Foreign)						
(b)	Bodies Corporate						
(c)	Institutions						
(d)	Qualified Foreign Investor						
(e)	Any Other (specify)						
	Sub-Total (A)(2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1) + (A)(2)						
(B)	Public Shareholding						
(1)	Institutions						
(a)	Mutual Funds/UTI						
(b)	Financial Institutions/Banks						
(c)	Central/State Government(s)						
(d)	Venture Capital Funds						
(e)	Insurance Companies						
(f)	Foreign Institutional Investors						
(g)	Foreign Venture Capital Investors						
(h)	Qualified Foreign Investor						
(i)	Any Other (specify)						
	Sub-Total (B) (1)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
(2)	Non Institutions						
(a)	Bodies Corporate						
(b)	Individuals						
	i. Individual shareholders holding nominal share capital upto Rs. 1 lakh						
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.						
(c)	Qualified Foreign Investor						
(d)	Any Other (specify)						
	Sub-Total (B) (2)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
	TOTAL (A) + (B)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
(C)	Shares held by Custodians and against which Depository Receipts have been issued						
	GRAND TOTAL (A)+(B)+(C)	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

